



#3  
#BT  
4-19-02  
28 27  
RECEIVED  
TECHNOLOGY CENTER 2800  
MAR 20 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: HONDA

SERIAL NO.: 10/052,143

FILED: JANUARY 17, 2002

FOR: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THE SAME

DOCKET: NEC 00USFP553 DIV

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-identified application, Applicant hereby attaches U.S. Patent Office Form PTOL-1449, including copies of the prior art references listed therein together with the English language abstract. These references were cited in a Taiwanese Rejection Decision dated December 3, 2001 of the corresponding Japanese patent application. Enclosed is the Taiwanese Rejection Decision, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56 (a) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most

HAYES SOLOWAY P.C.  
175 Canal Street  
Manchester, NH 03101

—  
TEL: 603-668-1400  
FAX: 603-668-8567

knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that these references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner, or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The enclosed Information Disclosure Statement is being submitted within three months of the Taiwanese Rejection Decision, as certified in the attached Certification for Information Disclosure Statement.

Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



\_\_\_\_\_  
Norman P. Soloway  
Attorney for Applicant  
Reg. No. 24,315

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 1, 2002 at Manchester, New Hampshire.

By: 

HAYES SOLOWAY P.C.  
175 Canal Street  
Manchester, NH 03101

TEL: 603-668-1400  
FAX: 603-668-8567

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**NEC 00USFP553 DIV**

In Re Application Of: **HONDA**

Serial No.  
**10/052,143**

Filing Date  
**January 17, 2002**

Examiner

Group Art Unit

**INVENTION: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THE SAME**

RECEIVED  
TECHNOLOGY CENTER 2800  
MAR 20 2002  
U.S. PATENT & TRADEMARK OFFICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

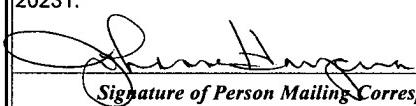
  
Signature

Dated: March 1, 2002

Norman P. Soloway, Reg. No. 24,315  
Hayes Soloway PC  
175 Canal Street  
Manchester, New Hampshire 03101  
603-668-1400

**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on  
March 1, 2002 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Johanne Hengen  
Typed or Printed Name of Person Mailing Correspondence

cc: